

INFORMATION DISCLOSURE STATEMENT

Applicant	:	Bassler et al.
App. No.	:	Filed herewith
Filed	:	Filed herewith
For	:	COMPOUNDS AND METHODS FOR REGULATING BACTERIAL GROWTH AND PATHOGENESIS
Examiner	:	Unknown
Group Art Unit	:	Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing one hundred thirty two (132) references that are of record in U.S. patent application No. 10/300,818, filed November 19, 2002, which is the parent of this continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed with an RCE or within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 3/17/2004

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. PUNIV.4DV1C1	APPLICATION NO. Filed herewith
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	4,107,121	08/15/1978	Stoy	260	29.6	
	2.	4,692,417	09/08/1987	Webster	436	518	
	3.	4,861,709	08/29/1989	Ullizur et al.	435	6	
	4.	4,895,566	01/23/1990	Lee	604	266	
	5.	4,917,686	04/17/1990	Bayston et al.	604	265	
	6.	4,952,419	08/28/1990	De Leon et al.	427	2	
	7.	5,013,306	05/07/1991	Solomon et al.	604	265	
	8.	5,196,318	03/23/1993	Baldwin et al.	435	69.1	
	9.	5,593,827	01/14/1997	Bycroft et al.	435	6	
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	11.	5,637,113	06/10/1997	Tartaglia et al.	623	1	
	12.	5,658,748	08/19/1997	Mäyrä Mäkinen et al.	435	29	
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	20.	6,117,485	09/12/2000	Woodhall et al.	427	156	
	21.	6,197,591	03/06/2001	Stutzman-Engwall et al.	435	486	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	22.	WO 98/13328	04/02/98	PCT				
	23.	WO 98/40346	09/17/98	PCT				
	24.	WO 98/58075	12/23/98	PCT				

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							YES	NO
	25.	WO 99/00349	01/07/99	PCT				
	26.	WO 99/01119	01/14/99	PCT				
	27.	WO 99/29647	06/17/99	PCT				
	28.	WO 99/47545	09/23/99	PCT				
	29.	WO 00/11021	03/02/00	PCT				
	30.	WO 00/32152	06/08/00	PCT				
	31.	WO 01/85664 A2	11/15/01	PCT				

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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
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	102.	Showalter et al., "Cloning and Nucleotide Sequence of <i>luxR</i> , a Regulatory Gene Controlling Bioluminescence in <i>Vibrio harveyi</i> " <u>Journal of Bacteriology</u> , Vol. 172, No. 6, pp. 2946-2954 (June 1990)
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	113.	Manefield et al. (2001) "Halogenated Furanones from the Red Alga, <i>Delisea pulchra</i> , Inhibit Carbapenem Antibiotic Synthesis and Exoenzyme Virulence Factor Production in the Phytopathogen <i>Erwinia carotovora</i> ", <u>Federation of European Microbiological Societies - Microbiology Letters</u> , 205:131-138
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EXAMINER	DATE CONSIDERED
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	

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	APPLICANT Bassler, et al.	
	FILING DATE Filed herewith	GROUP Unknown

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